

<b>Report to:</b>	Trust Board (Public)	<b>Agenda item:</b>	7
<b>Date of Meeting:</b>	7 February 2019		

<b>Report from: (Committee Name)</b>	Audit Committee		<b>Committee Meeting Date:</b>	13/12/2018
<b>Status:</b>	<b>Information</b>	<b>Discussion</b>	<b>Assurance</b>	<b>Approval</b>
	✓			
<b>Prepared by:</b>	Paul Kemp			
<b>Board Sponsor (presenting):</b>	Paul Kemp			

<b>Recommendation</b>
<p>The Board to note the points below:</p> <p>The Committee received a report from TIAA updating outstanding counter fraud items. The two incidents discovered of timesheet fraud by agency staff are now closed. For the staff member committing multiple incidents, the case was forwarded to the CPS, who have decided that it is not in the public interest to proceed to prosecution. For the case where a single incident was discovered, the perpetrator has admitted the offence and would receive a police caution.</p> <p>Barrie Morris, a Director at Grant Thornton, attended the meeting. At that time we had agreed that Grant Thornton would conduct the 2018/19 audit, under Barrie's leadership, following the resignation of BDO in October. Subsequent to the meeting, contractual arrangements were finalised.</p> <p>There was a "deep dive" process review of business planning. The committee agreed that the internal processes were robust, within the constraints of those external factors that it was subject to.</p> <p>The committee received an update on implementation of GDPR within the Trust. Progress made has been very good and the Trust is on track to be fully compliant by the end of the fiscal year. It was noted by PWC that the Trust is markedly ahead of their other NHS clients. The committee complimented management on the progress made and rigour with which the process has been pursued.</p> <p>A number of outstanding internal audit reviews from previous periods were reviewed and closed down. In general, issues were being addressed, although (again generally) not within the timeframes originally committed. As we progress in our new arrangements with PWC, it is agreed that action points will be more focussed and internal management processes will ensure better tracking and, if necessary, completion chasing.</p>

<b>Key Items for Escalation</b>
There are no items for escalation.